

CHANGES APRIL 1, 2014 THROUGH NOVEMBER 28, 2014

TITLE 17—COMMODITY AND SECURITIES EXCHANGES**Chapter I—Commodity Futures Trading Commission (Parts 1—199)**

1 Authority citation revised.....	57781
1.3 (ggg)(4)(i) revised	57781
1.20 Appendix B correctly revised	26832
1.23 (d)(2) introductory text, (i), (v) and (3) correctly revised	44126
1.26 Appendix B correctly revised	26833
23.600 (e)(2) correctly revised.....	41126
30.7 (d)(1), (g)(4) introductory text, (v) and (5) introductory text correctly revised.....	44126
140.91 (a)(12) correctly revised	44126

Chapter II—Securities and Exchange Commission (Parts 200—399)

200.30-13 (c) added	59105
211 Staff accounting bulletin	69373
229.512 (a)(1)(iii)(B) and (C) amended; (a)(5)(iii) and (7) added; (l) removed	57311
229.601 (a) table revised; (b)(36) and (102) through (106) added	57311
(a) table corrected.....	58674
229.1100 (c) heading, introductory text and (f) revised	57313
229.1101 (c)(3)(ii)(A) and (B) amended; (m) added.....	57313
229.1102 (a) amended	57313
229.1103 (a)(2) instruction added	57313
229.1104 (e)(1) amended; (f) and (g) added.....	57313
229.1105 Introductory text and (a)(3)(ii) instruction added; (a)(3)(ii) and (c) revised; (a)(3)(iv) added	57314
229.1108 (a)(3) amended; (c)(6) removed; (c)(7) and (8) redesignated as new (c)(6) and (7); (e) added.....	57314
229.1109 Heading revised; introductory text and (a) through (f) redesignated as (a) introductory text and (1) through	

(6); new (a) introductory text heading and new (b) added	57314
229.1110 (a) amended; (b)(3) and (c) added	57314
229.1111 (e) revised; (h) added.....	57315
229.1112 Amended	57315
229.1113 (a)(7)(i) added	57315
229.1114 Amended	57315
229.1119 (a)(7) added	57315
229.1121 (a)(9) amended; (d) and (e) added.....	57315
229.1122 (c)(1) revised; (c)(2) redesignated as (c)(3); new (c)(2) and (d)(1)(v) added; instructions amended.....	57316
229.1124 Added	57316
229.1125 Added; appendix added	57316
Appendix corrected	58674
230.139a Amended.....	57328
230.167 (a) amended	57328
230.190 (b)(1) revised; (b)(6) amended; (b)(7) removed; (d) added	57328
230.193 Amended	57329
230.401 (g)(1) amended; (g)(4) added	57329
230.405 Amended	57329
230.415 (a)(1)(vii) and (ix) revised; (a)(1)(xii) added	57329
230.419 (b)(2)(iv)(B) amended.....	47957
230.424 (b)(2) and (8) amended; (h) added	57329
230.430B (a) amended.....	57329
230.430C (a) amended.....	57329
230.430D Added	57329
230.433 (b)(1)(i) and (c)(1)(i) amended; (d)(6)(iii) removed	57331
230.456 (c) added	57331
230.457 (s) and (t) added.....	57331
230.482 (b)(3)(i) amended; (b)(4) revised	47957
232.11 Added	57332
232.101 (a)(1)(xiv) added.....	55261
(a)(1)(xii) and (3) note amended; (a)(1)(xiv) added	57332
(a)(1)(xiv) designation corrected to (a)(1)(xv).....	66607
232.201 (a) introductory text revised; (b) Note 1 amended; (d) added	57332
232.202 (a) introductory text amended	57332
232.301 Revised	35281, 64312

CHANGES APRIL 1, 2014 THROUGH NOVEMBER 28, 2014

TITLE 17 Chapter II—Con.

232.305 (b) revised.....	57332	and new (b)(1) revised; (a)(7), (8), (b)(2), (d) and (e) added	55263
239.11 Revised; Form S-1 amend- ed.....	57332	(a)(3) introductory text, (4) introductory text, (5) introductory text and (6) introductory text correctly amended	61576
239.13 (a)(4) removed; (a)(5) through (8) redesignated as new (a)(4) through (7); (b)(5) revised; (e) introductory text amended	57332	240.17g-5 (a)(3) introductory text, (i), (ii) introductory text, (iii)(A), (B) introductory text, (C), (D), (b)(9), (c)(6), (7) and (e) introductory text amended; (a)(3)(iii)(E), (c)(8), (f) and (g) added; eff. in part 6-15-15	55264
Form S-3 amended.....	57333	(a)(3) introductory text, (i), (iii)(A), (b)(9), (c)(6) and (7) correctly amended; (a)(3)(iii)(E), (c)(8), (f) and (g) correctly added; eff. in part 6-15-15	61576
239.15A Form N-1A amended.....	47968	240.17g-6 (a)(4) amended.....	55264
239.44 Added; Form SF-1 added	57333	240.17g-7 Revised; eff. in part 6-15-15	55264
239.45 Added	57337	Introductory text amended	57344
Form SF-3 added	57338	240.17g-8 Added; eff. 6-15-15	55267
240 Authority citation amended	39159, 47369, 55261	240.17g-9 Added; eff. 6-15-15	55269
Technical correction	48975	240.17g-10 Added; eff. 6-15-15	55270
Authority citation revised	57344	241 Interpretive releases	39161, 47371
240.0-13 Added.....	39159, 47369	Technical correction	48975
240.3a67-10 Added.....	39159, 47369	243.103 (a) amended.....	57344
240.3a68-1a (a)(1)(iv)(D), (G), (H)(1), (2), (3), (c)(1), (3)(ii), (4) and (5) amended.....	57344	249.308 Form 8-K amended	57344
240.3a68-1b (a)(1)(iv)(D), (G), (H)(1), (2), (3), (c)(1), (3)(ii), (4) and (5) amended.....	57344	Technical corrections	66607
240.3a71-3 Added	39160, 47370	249.310 Form 10-K amended.....	57345
240.3a71-4 Added	39160, 47370	Technical corrections	66608
240.3a71-5 Added	39160, 47370	249.312 Form 10-D amended.....	57345
240.15c2-8 (b) amended; (j) re- moved.....	57344	Technical corrections	66607
240.15c3-1e (c)(4)(vi)(A) through (D) correctly removed	38451	249.1400 (Subpart O) Revised	55270
240.15d-22 (a) introductory text and (b)(1) amended	57344	Heading revised.....	57346
240.15Ga-1 (a) amended	57344	249.1400 Form ABS-15G revised; eff. 6-15-15	55270
240.15Ga-2 Added; eff. 6-15-15.....	55261	249.1401 Added; Form ABS-EE added	57346
(a) corrected.....	61576	249b.300 Form amended.....	55274
240.17g-1 (a) through (d) amend- ed; (e) through (i) added	55262	Form NRSRO corrected	61576
240.17g-2 (a)(2)(iii), (7) and (b)(9) amended; (a)(9) and (b)(12) through (15) added; (b)(1), (11) and (c) revised; (d)(1) re- designated as (d); (d)(2) and (3) removed; eff. in part 6-15-15	55263	249b.500 Section and Form ABS Due Diligence-15E added; eff. 6-15-15	55312
240.17g-3 (a)(1) introductory text, (2) introductory text, Note, (3) introductory text, (4) introductory text, (5) introductory text, (6) introductory text and Note amended; (b) redesignated as (b)(1); heading, (a) introductory text	47967	250 Added	39162, 47372
		Technical correction	48975
		270.2a-7 Revised	47958
		270.12d3-1 (d)(7)(v) amended	47967
		270.18f-3 (c)(2)(i) amended	47967
		270.22e-3 (a)(1) revised; (d) added	47967

CHANGES APRIL 1, 2014 THROUGH NOVEMBER 28, 2014

270.30b1-7 Revised	47967	232.....	18483
270.30b1-8 Added.....	47967	239.....	18483
270.31a-1 (b)(1) amended	47968	240.....	18483, 25194, 29508, 69073
274.11A Form N-1A amended.....	47968	241.....	69073
274.201 Form N-MFP revised.....	47969	243.....	18483
274.222 Added; Form N-CR added	47973	249.....	18483, 25194
279.9 Form PF amended.....	47975	270.....	19564, 47986, 51922
		274.....	47986, 51922
		275.....	48709
		420.....	33145

Chapter IV—Department of the Treasury (Parts 400—499)

400.2 (a), (c)(3)(vi) and (7)(i) amended	38454
400.3 Amended	38455
401.7 Removed; new 401.7 redesignated from 401.9.....	38455
401.8 Removed.....	38455
401.9 Redesignated as new 401.7	38455
402 Authority citation revised.....	38455
402.1 (f) revised	38455
402.2 (b), (c) and (e)(1)(v) revised	38455
402.2a Amended.....	38455
402.2e Removed.....	38456
403 Authority citation revised.....	38456
403.7 (d) and (e) removed.....	38456
405.2 (a)(11) through (14) removed; (a)(15) and (16) redesignated as new (a)(11) and (12).....	38456
405.5 (a)(7) removed.....	38456
420.4 (a) revised.....	38456
449.1 Amended	38456
449.2 Amended	38456
449.3 Amended	38456
449.4 Amended	38456
450.1 (b) amended	38456
450.3 (a) amended	38456

Proposed Rules:

1.....30762, 31238, 37973, 68140, 68148, 69073	
14.....63343	
15.....30762, 37973, 41149	
17.....30762, 37973	
19.....30762, 37973	
23.....59898	
32.....30762, 37973	
37.....30762, 37973	
38.....30762, 37973	
140.....30762, 37973, 59898	
150.....30762, 37973	
200.....18483, 36443	
229.....18483	
230.....18483, 19564, 54224, 69073	
	4.400—4.401 (Subpart N) Added.....59111
	4.92 (a)(1), (3), (c)(9) and (11) revised; (b) amended.....59110
	4.93 (a) amended
	4.94 (d), (e) and (f) redesignated as (e), (f) and (g); new (e), (f) and (g) amended; new (d) added
	4.95 (e) added
	4.101—4.108 (Subpart K) Heading revised
	4.101 Amended
	4.102 (e) amended
	4.106 (h) amended
	4.107 (b)(1) amended

TITLE 18—CONSERVATION OF POWER AND WATER RESOURCES**Chapter I—Federal Energy Regulatory Commission, Department of Energy (Parts 1—399)**

2 Policy statement	42665
2.27 Undesignated center heading and section added	56954
2.55 (c)(1) and (2) revised	70067
2 Appendix A revised	70068
4.30 (b)(21) through (24) and (25) through (30) redesignated as (b)(22) through (25) and (27) through (32); new (b)(21) and (26) added; (b)(4)(ii), (8), (20), new (24), new (30) and new (31) introductory text revised	59109
4.31 (b) and (c)(2) revised	59109
4.32 (b)(1) and (2) amended	42974
4.34 (f)(2) revised	59110
4.38 (a)(1) amended	59110
4.39 (a) amended	42974
4.39 (d) amended	59110
4.82 (a) and (c) amended.....	59110
4.90 Revised	59110
4.92 (a)(1), (3), (c)(9) and (11) revised; (b) amended.....	59110
4.93 (a) amended	59110
4.94 (d), (e) and (f) redesignated as (e), (f) and (g); new (e), (f) and (g) amended; new (d) added	59110
4.95 (e) added	59111
4.101—4.108 (Subpart K) Heading revised	59111
4.101 Amended	59111
4.102 (e) amended	59111
4.106 (h) amended	59111
4.107 (b)(1) amended	59111